

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

SEA CARRIERS LP I and
SEA CARRIERS CORPORATION
(individually and on behalf of those
similarly situated),

v.

NYSE EURONEXT, INC., et als.

: Case No. 07-civ-4658 (LAP)
:
: **AFFIDAVIT OF SERVICE**
:
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:
:
:
:

I, Joseph G. Harraka, Jr., under penalties of perjury, hereby declare as follows:

1. I am a partner in the law firm Becker Meisel LLC, attorneys for Named Plaintiffs Sea Carriers LP I and Sea Carriers Corporation in this putative class action. I have full knowledge of the facts set forth herein.

2. Our office retained Guaranteed Subpoena Service Company, Inc. ("Guaranteed") to effect service of process upon Defendants Goldman Sachs & Co.; Goldman Sachs Execution & Clearing LP; Jeffries Execution Services, Inc.; LaBranche & Co., LLC; and Van der Moolen Specialists USA LLC.

3. The aforementioned defendants were served in accordance with Federal Rule of Civil Procedure 4(h) and Article III of the New York Civil Practice Law by hand delivering the summons and complaint to their managing agent. The original Proofs of Service executed by Guaranteed are attached hereto as Exhibit A

I hereby certify that the foregoing statements made by me are true. I am aware that if any of the foregoing statements made by me are false, I am subject to punishment.


JOSEPH G. HARRAKA, JR. (JH-9474)

Dated: September 4, 2007

EXHIBIT A

AO 440 (Rev. 10/93) Summons in a Civil Action

RETURN OF SERVICE

SERVICE OF: **LETTER, SUMMONS AND COMPLAINT**
 EFFECTED (1) BY ME: **MALGORZATA SLADEK**
 TITLE: **PROCESS SERVER**

DATE: 08/30/2007 02:45PM

CHECK ONE BOX BELOW TO INDICATE APPROPRIATE METHOD OF SERVICE:

☐ Served personally upon the defendant:

GOLDMAN SACHS & CO

Place where served:

ONE NEW YORK PLAZA 37TH FLOOR NEW YORK NY

☐ Left copies thereof at the defendant's dwelling house or usual place of abode with a person of suitable age and discretion then residing therein. Name of person with whom the summons and complaint were left:

JOANNE COOK

Relationship to defendant: MANAGING AGENT

Description of person accepting service:

SEX: F AGE: 36-50 HEIGHT: 5'4"-5'8" WEIGHT: 100-130 LBS. SKIN: WHITE HAIR: BLACK OTHER: _____

☒ To the best of my knowledge, said person was not engaged in the U.S. Military at the time of service

STATEMENT OF SERVER

TRAVEL \$ _____

SERVICES \$ _____

TOTAL \$ _____

DECLARATION OF SERVER

I declare under penalty of perjury under the laws of the United States of America that the foregoing information contained in this Return of Service and Statement of Server is true and correct.

DATE: 8 / 30 / 20 07

Malgorzata Sladek L.S.
 SIGNATURE OF MALGORZATA SLADEK
 GUARANTEED SUBPOENA SERVICE, INC.
 2009 MORRIS AVENUE
 UNION, NJ 07083

8/30/07
Zuleyda Concepcion
 ZULEYDA CONCEPCION
 NOTARY PUBLIC OF NEW JERSEY
 My Commission Expires Oct. 2, 2011

ATTORNEY: JOSEPH J. HARRAKA, JR., ESQ.
 PLAINTIFF: SEA CARRIERS LP I, ET AL
 DEFENDANT: NYSE EURONEXT INC., ET AL
 VENUE: DISTRICT
 DOCKET: 07 CIV 4658

(1) As to who may serve a summons see Rule 4 of the Federal Rules of Civil Procedure.

RR



AO 440 (Rev. 10/93) Summons in a Civil Action

RETURN OF SERVICE

SERVICE OF: **LETTER, SUMMONS AND COMPLAINT**
EFFECTED (1) BY ME: **MALGORZATA SLADEK**
TITLE: **PROCESS SERVER**

DATE: 08/30/2007 02:46PM

CHECK ONE BOX BELOW TO INDICATE APPROPRIATE METHOD OF SERVICE:

☐ Served personally upon the defendant:

GOLDMAN SACHS EXECUTION & CLEARING, LP

Place where served:

ONE NEW YORK PLAZA 37TH FLOOR NEW YORK NY

☐ Left copies thereof at the defendant's dwelling house or usual place of abode with a person of suitable age and discretion then residing therein. Name of person with whom the summons and complaint were left:

JOANNE COOK

Relationship to defendant: MANAGING AGENT

Description of person accepting service:

SEX: F AGE: 36-50 HEIGHT: 5'4"-5'8" WEIGHT: 100-130 LBS. SKIN: WHITE HAIR: BLACK OTHER: _____☒ To the best of my knowledge, said person was not engaged in the U.S. Military at the time of service

STATEMENT OF SERVER

TRAVEL \$ _____

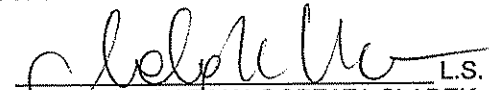
SERVICES \$ _____

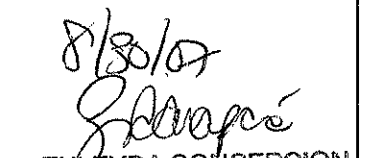
TOTAL \$ _____

DECLARATION OF SERVER

I declare under penalty of perjury under the laws of the United States of America that the foregoing information contained in this Return of Service and Statement of Server is true and correct.

DATE: 8/30/07


L.S.
SIGNATURE OF MALGORZATA SLADEK
GUARANTEED SUBPOENA SERVICE, INC.
2009 MORRIS AVENUE
UNION, NJ 07083

8/30/07

ZULEYDA CONCEPCION
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires Oct. 2, 2011

ATTORNEY: JOSEPH J. HARRAKA, JR., ESQ.
PLAINTIFF: SEA CARRIERS LP I, ET AL
DEFENDANT: NYSE EURONEXT INC., ET AL
VENUE: DISTRICT
DOCKET: 07 CIV 4658

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AO 440 (Rev. 10/93) Summons in a Civil Action

RETURN OF SERVICE

SERVICE OF: **LETTER, SUMMONS AND COMPLAINT**
EFFECTED (1) BY ME: **MALGORZATA SLADEK**
TITLE: **PROCESS SERVER**

DATE: 08/30/2007 02:15PM

CHECK ONE BOX BELOW TO INDICATE APPROPRIATE METHOD OF SERVICE:

☐ Served personally upon the defendant:

JEFFIRES EXECUTION SERVICCES, INC.

Place where served:

30 BROAD STREET 45TH FLOOR NEW YORK NY 100##

☐ Left copies thereof at the defendant's dwelling house or usual place of abode with a person of suitable age and discretion then residing therein. Name of person with whom the summons and complaint were left:

ROSE LEVINE

Relationship to defendant: MANAGING AGENT

Description of person accepting service:

SEX: F AGE: 51-65 HEIGHT: 5'4"-5'8" WEIGHT: 161-200 LBS. SKIN: WHITE HAIR: BROWN OTHER: GLASSES☒ To the best of my knowledge, said person was not engaged in the U.S. Military at the time of service

STATEMENT OF SERVER

TRAVEL \$ _____

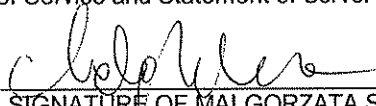
SERVICES \$ _____

TOTAL \$ _____


DECLARATION OF SERVER

I declare under penalty of perjury under the laws of the United States of America that the foregoing information contained in this Return of Service and Statement of Server is true and correct.

DATE: 8/30/07


SIGNATURE OF MALGORZATA SLADEK
GUARANTEED SUBPOENA SERVICE, INC.
2009 MORRIS AVENUE
UNION, NJ 07083

L.S.

8/30/07

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ATTORNEY: JOSEPH J. HARRAKA, JR., ESQ.
PLAINTIFF: SEA CARRIERS LP I, ET AL
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VENUE: DISTRICT
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AO 440 (Rev. 10/93) Summons in a Civil Action

RETURN OF SERVICE

SERVICE OF: **LETTER, SUMMONS AND COMPLAINT**
EFFECTED (1) BY ME: **MALGORZATA SLADEK**
TITLE: **PROCESS SERVER**

DATE: 08/30/2007 03:23PM

CHECK ONE BOX BELOW TO INDICATE APPROPRIATE METHOD OF SERVICE:

☐ Served personally upon the defendant:

LA BRANCHE & CO., LLC

Place where served:

ONE EXCHANGE PLAZA 25TH FLOOR NEW YORK NY 100##

☐ Left copies thereof at the defendant's dwelling house or usual place of abode with a person of suitable age and discretion then residing therein. Name of person with whom the summons and complaint were left:

SAL COLONNA

Relationship to defendant: MANAGING AGENT

Description of person accepting service:

SEX: M AGE: 51-65 HEIGHT: 5'9"-6'0" WEIGHT: OVER 200 LBS. SKIN: WHITE HAIR: GRAY OTHER: _____☒ To the best of my knowledge, said person was not engaged in the U.S. Military at the time of service

STATEMENT OF SERVER

TRAVEL \$ _____

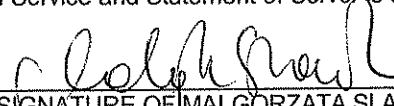
SERVICES \$ _____

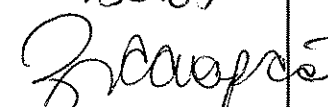
TOTAL \$ _____

DECLARATION OF SERVER

I declare under penalty of perjury under the laws of the United States of America that the foregoing information contained in this Return of Service and Statement of Server is true and correct.

DATE: 8/30/07


SIGNATURE OF MALGORZATA SLADEK
GUARANTEED SUBPOENA SERVICE, INC.
2009 MORRIS AVENUE
UNION, NJ 07083

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RETURN OF SERVICE

SERVICE OF: **LETTER, SUMMONS AND COMPLAINT**
EFFECTED (1) BY ME: **MALGORZATA SLADEK**
TITLE: **PROCESS SERVER**

DATE: 08/30/2007 02:02PM

CHECK ONE BOX BELOW TO INDICATE APPROPRIATE METHOD OF SERVICE:

☐ Served personally upon the defendant:

VAN DER MOOLEN SPECIALISTS USA LLC

Place where served:

45 BROADWAY 32ND FLOOR NEW YORK NY

☐ Left copies thereof at the defendant's dwelling house or usual place of abode with a person of suitable age and discretion then residing therein. Name of person with whom the summons and complaint were left:

FLOYD JONES

Relationship to defendant: MANAGING AGENT

Description of person accepting service:

SEX: M AGE: 51-65 HEIGHT: 5'9"-6'0" WEIGHT: 161-200 LBS. SKIN: WHITE HAIR: GRAY OTHER: GLASSES☒ To the best of my knowledge, said person was not engaged in the U.S. Military at the time of service

STATEMENT OF SERVER

TRAVEL \$ _____

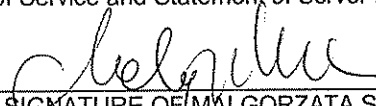
SERVICES \$ _____

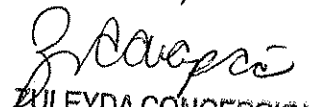
TOTAL \$ _____

DECLARATION OF SERVER

I declare under penalty of perjury under the laws of the United States of America that the foregoing information contained in this Return of Service and Statement of Server is true and correct.

DATE: 8/30/07


SIGNATURE OF MALGORZATA SLADEK
GUARANTEED SUBPOENA SERVICE, INC.
2009 MORRIS AVENUE
UNION, NJ 07083

8/30/07

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ATTORNEY: JOSEPH J. HARRAKA, JR., ESQ.
PLAINTIFF: SEA CARRIERS LP I, ET AL
DEFENDANT: NYSE Euronext Inc., ET AL
VENUE: DISTRICT
DOCKET: 07-CIV-4658

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